

# Unlocking Medical Records with Natural Language Processing

LabKey User Conference  
October 1<sup>st</sup>, 2015



**FRED HUTCH**  
CURES START HERE™

# Informatics at Fred Hutch – Overview of the Cancer Consortium



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UNIVERSITY of  
WASHINGTON



**Seattle Children's**  
HOSPITAL • RESEARCH • FOUNDATION



**SEATTLE  
CANCER CARE  
ALLIANCE**

**FRED HUTCH**  
CURES START HERE



**Admin**

**Clinical  
Research**

**Human  
Biology**

**Basic  
Sciences**

**Public  
Health  
Sciences**

**Vaccine and  
Infectious  
Diseases**

**IT**

**Facilities**

**Legal**

**Finance**

**Shared  
Resources**

**HR**

**.....**

**Informatics**

**Customer  
Service**

**Infrastructure  
Operations**

**Scientific  
Computing**

**Solutions  
Architecture**

**Enterprise  
Software  
Solutions**

**Information  
Security**

**Projects and  
Business Ops**

**Program Operations**

**Analytics and Data Systems**

**Application Development**

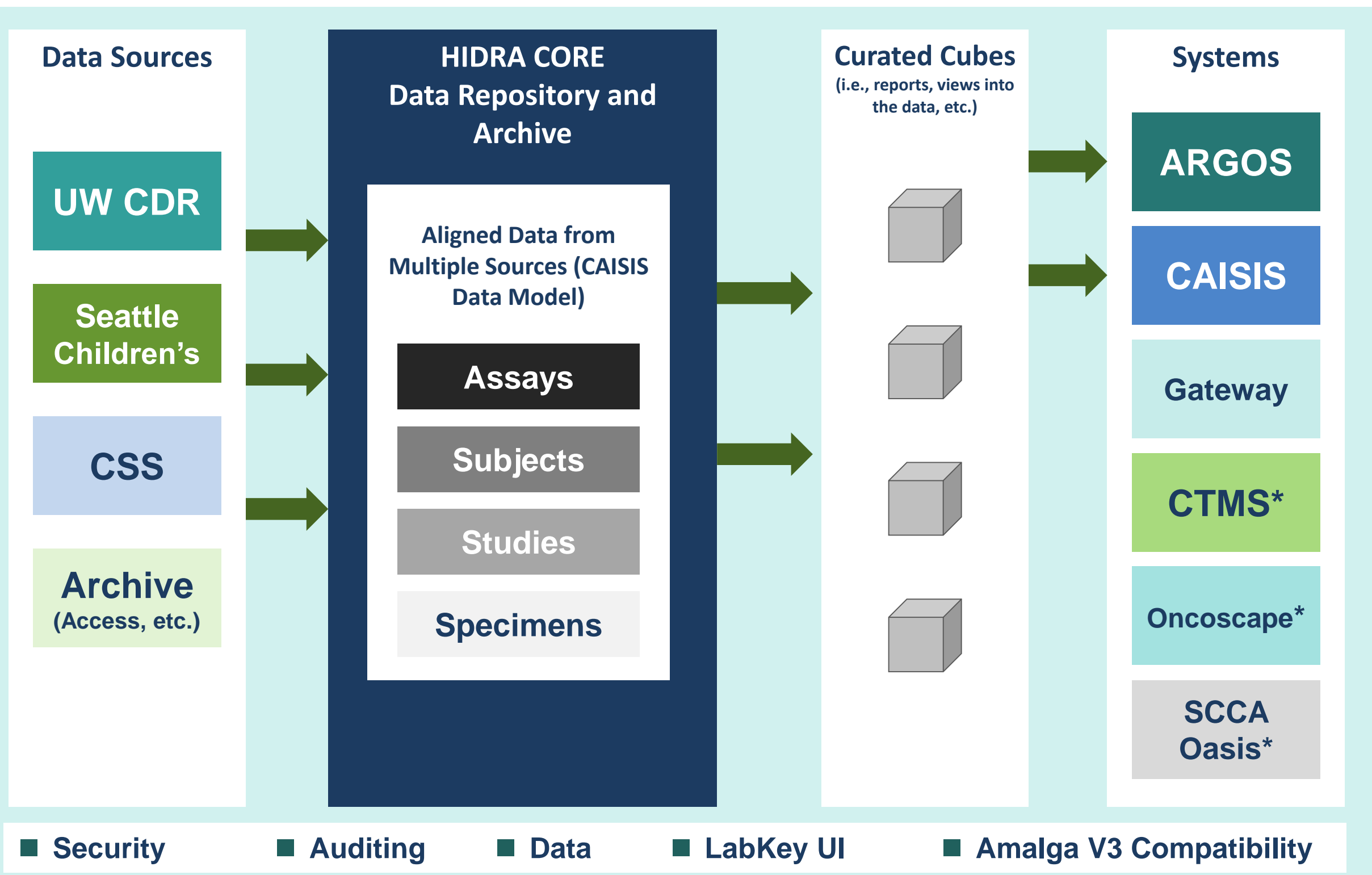


# What is HIDRA?

## Hutch Integrated Data Repository and Archive

- *A broad and comprehensive database that combines patients' clinical records, tumor genetics and molecular data and related research information with the aim of eventually helping doctors choose the best targeted treatments for people with cancer.*
- *Hardware and software “plumbing” that bring multiple databases and data sources together into one high security environment.*
- *A data resource for the Consortium.*
- *A development platform for custom applications like Argos.*

# HIDRA Environment: Conceptual Diagram



# HIDRA by the Numbers

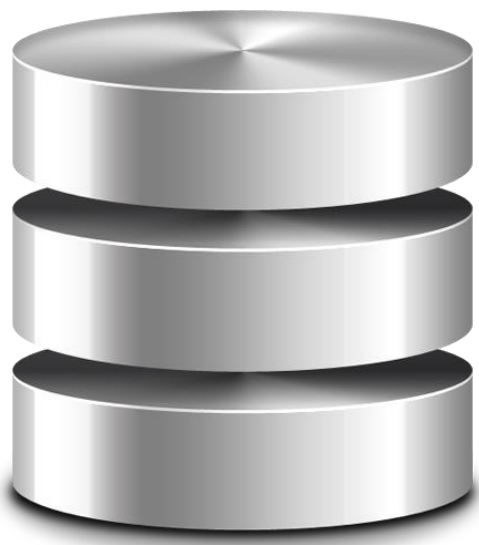
## Patients

- *335,000 total patients*



## Example Data Elements

- *150 million lab chemistry results from 26 million orders*
- *48 million encounter events*
- *3 million diagnostic imaging orders and their results*



## Size

- *809 million rows of data*
- *380 Gb of total storage space*

# Research Tools

## Caisis

- Provides a single-patient view of data
- Allows clinical data entry and update
- Is based on a cancer data model
- Receives data from HIDRA feeds
- Is the source of data for Argos

## Argos

- A self-service reporting interface allowing researchers to access and explore the rich information currently collected, linked and stored in HIDRA databases
- Balances easy access with good security controls
- Developed in collaboration with LabKey Software.
- Presents aggregate data stored in Caisis, whether it was entered by abstractors, received from HIDRA feeds or imported from custom databases maintained by disease groups

# Data Elements Feeding into CAISIS from HIDRA

## Demographics

Address (line 1)  
Address (line 2)  
Birth Date  
Birth Place  
City  
Country  
E-mail  
Ethnicity  
First (Given)  
Gender  
Language  
Last (Surname)  
Middle  
MRN  
Postal Code  
Race  
Religion  
State  
Phone Numbers

## Diagnostics

Date  
Indication  
Notes  
Type

## Diseases

Disease

## Lab Tests

Date  
Lab Abnormal  
Lab Test  
Normal Range  
Result  
Units

## Pathology

Notes (in text blob)  
Path #  
Path Report Date  
Specimen Type

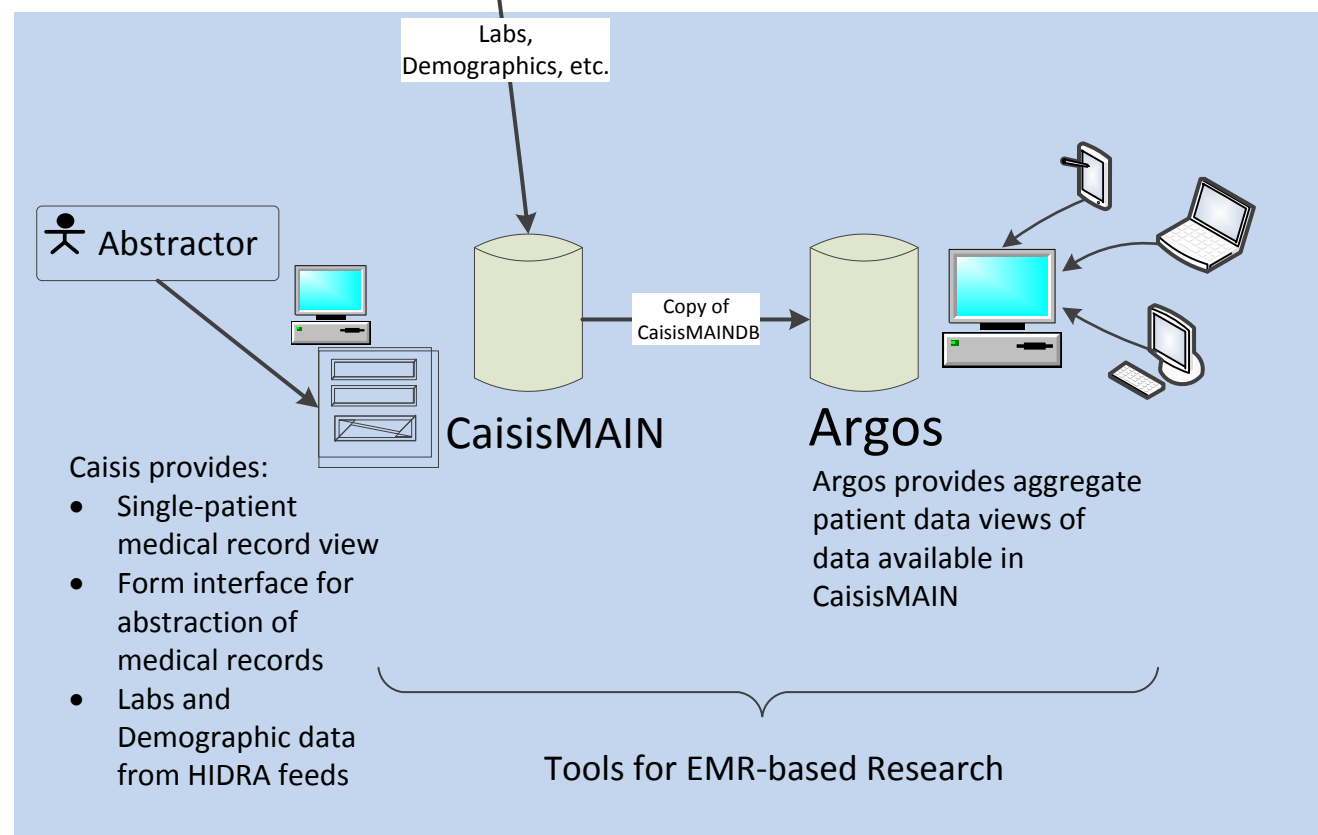
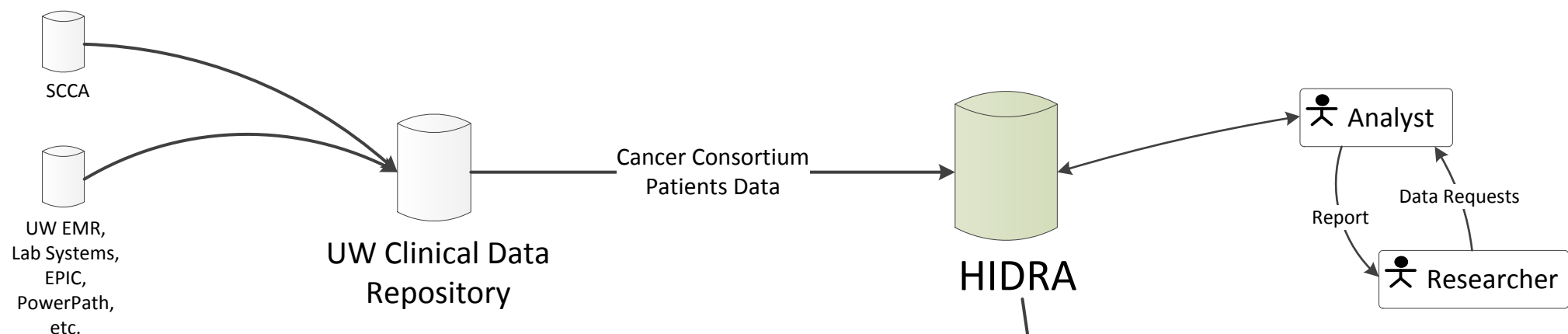
## Appointments

Clinic Date  
Dept.  
Physician  
Time  
Visit Type

## Physicians

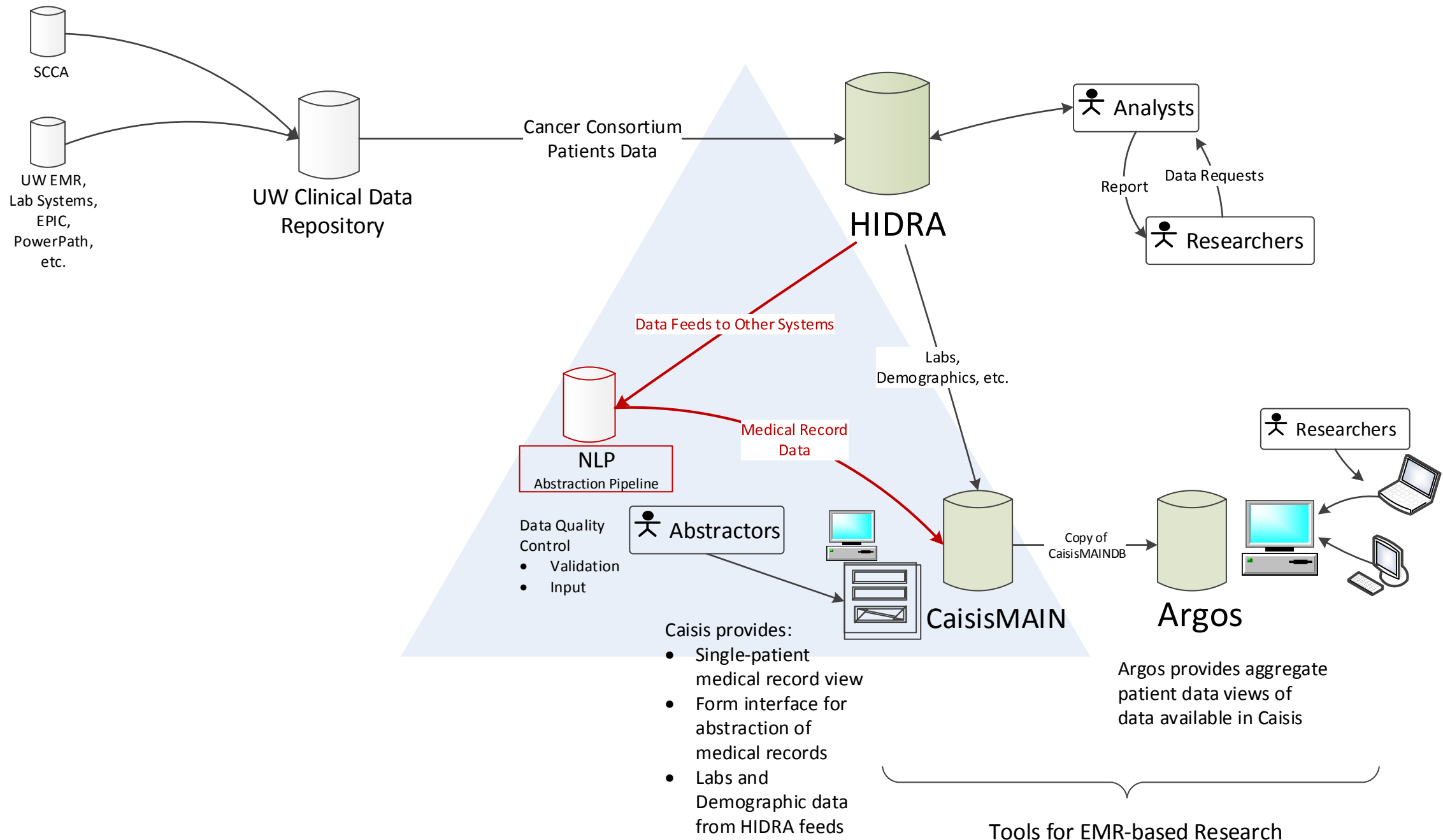
First (Given)  
Last (Surname)  
Middle

# Fred Hutch Research Systems & Data - today





# Fred Hutch Research Systems & Data - future



# The Problem – Scaling Up

- Currently, the majority (65-80%) of the data elements needed for clinical and translational research in cancer can only be found in unstructured narrative reports.
- Manually abstracting clinical data for all historical, current, and future patients is time and resource intensive and ultimately is not scalable.

## MATERIALS RECEIVED:

Label	Consult Accession No	Blocks/Slides	Description
A		08,9S	Colon, right hemicolectomy

## FINAL DIAGNOSIS:

A)

Portion of terminal ileum, cecum, and ascending colon, resection: Invasive, moderately differentiated colonic adenocarcinoma. Please see the Cancer Summary below.

## SUMMARY CANCER DATA:

### Specimen and Tumor Location

Specimen type: Right hemicolectomy

Tumor site: Cecum (C18.0)

### Characteristics and Extent of Neoplasm

Histologic type: Adenocarcinoma (81403)

Histologic grade: Low-grade (well to moderately differentiated: >50% gland formation)

Tumor size: Greatest diameter: 5cm

Tumor perforation (macroscopic): Not identified

Microscopic tumor extent:

Tumor invades through muscularis propria but does not penetrate any peritoneal surface

Tumor deposits: Not identified

Lymphatic [small vessel] invasion (L): Not identified

Venous [large vessel] invasion (V): Not identified

Perineural invasion: Absent

### Final Surgical Resection Margins

Grossly positive margin(s): None

Microscopically positive margin(s): None

### Lymph Node Status

Node summary: Nodes with carcinoma: 0 / Total nodes examined: 15

### Minimum Pathologic Stage (AJCC, 7th ed., 2010)

Primary tumor (pT): pT3: Tumor invades through the muscularis propria into pericorectal tissues

Regional lymph nodes (pN): pN0: No regional lymph node metastasis

### Other Findings

## Medical oncology clinic note

### IDENTIFICATION

██████████ a 70-year-old gentleman with a history of a peripheral T-cell lymphoma, history of prostate cancer, a history of a T3N0M0 colon cancer resected on ██████████ who comes in for surveillance.

### INTERVAL HISTORY

██████████ was treated by ██████████, MD, for peripheral T-cell lymphoma and had an autologous stem cell transplant followed by a nonmyeloablative allogeneic transplant in ██████████ and he has been in complete remission since that time. He has also had treatment for prostate cancer, clinical stage T1c, Gleason 3 + 3 disease in ██████████ 2008, treated with brachytherapy and since then his PSA has been minimally detectable.

██████████ had a right partial colectomy on ██████████ with a pathologic finding of an adenocarcinoma stage pT3NM0 with a 5 cm primary tumor, 0/15 lymph nodes involved with cancer, and negative surgical margins. He was not treated with adjuvant therapy as it was not indicated.

██████████ continues to do well. He continues to play golf, feels well, has not developed any new issues. He saw ██████████ in ██████████ with no new recommendations. He had a colonoscopy in ██████████ which showed no lesions and a followup was recommended in ██████████.

### MEDICATIONS

Levothyroxine 137 mcg p.o. once a day

# The Problem

- Efforts to implement templated notes are underway, but changes in clinical workflow are often slow and difficult to adopt.
- Templating will provide access to many discrete data elements, but it will not provide access to historical data in narrative form and it's not reasonable to expect that all clinical data entry will be templated.



# The Landscape - Barriers in Clinical NLP

- Because of the necessary protection of private data there is
  - limited cross institutional collaboration
  - very few annotated data sets for training and benchmarking
  - insufficient common conventions and standards in terminology
  - over-fitting to specific applications and institutions
- While some data sets and collaboration have been encouraged by shared tasks, the resulting systems have had limited carryover to industry

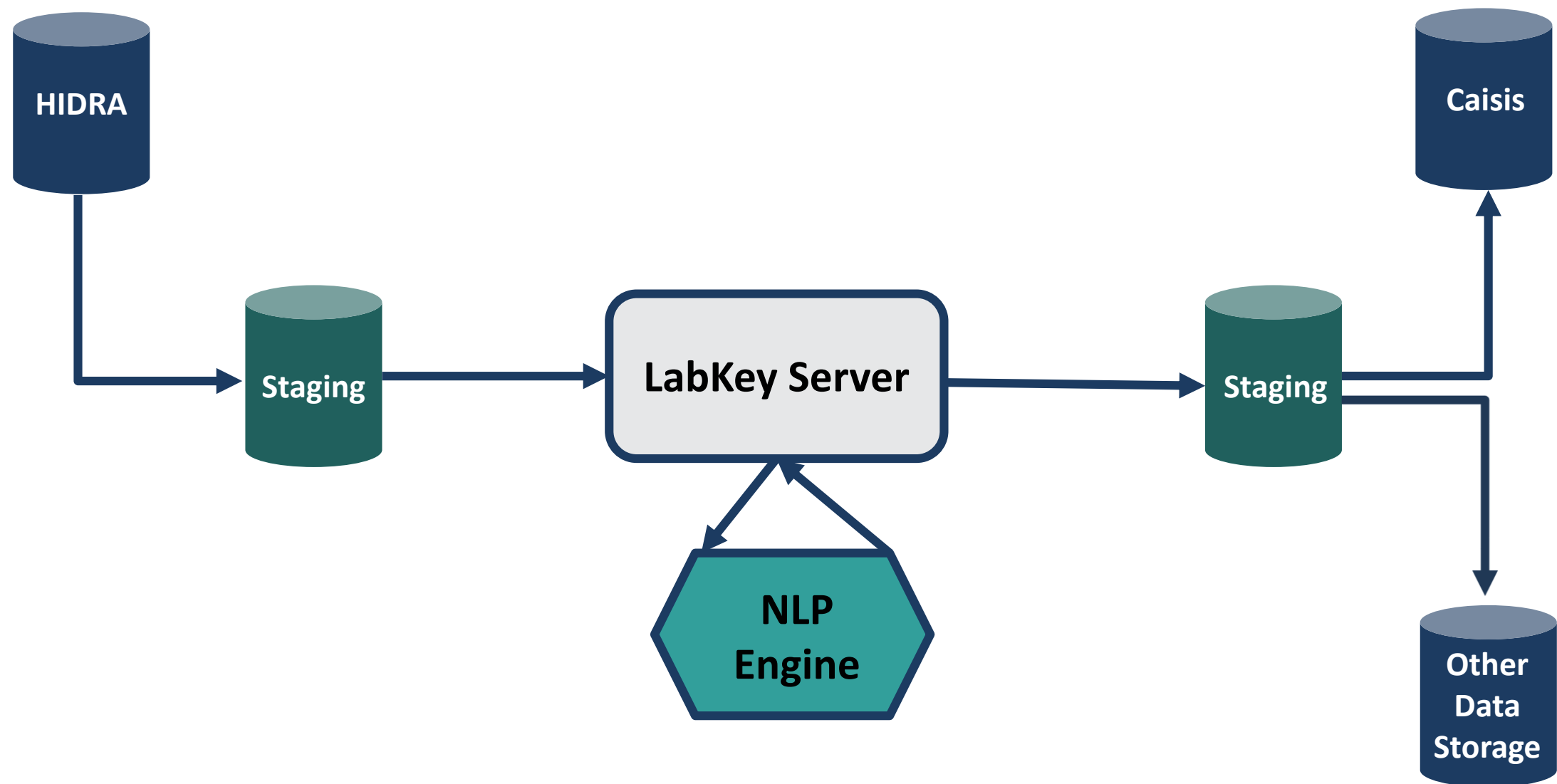
# The Landscape – Current Options in Clinical NLP

- Commercial
  - Black box systems
  - Do not allow for development and improvement over time
- Open Source
  - Lack support for setup and implementation
  - Require specific knowledge and skill set to use and customize
  - Not optimized for our needs as a cancer center
  - Do not provide a single platform for automated processing, manual verification, storage, and tracking on an enterprise level

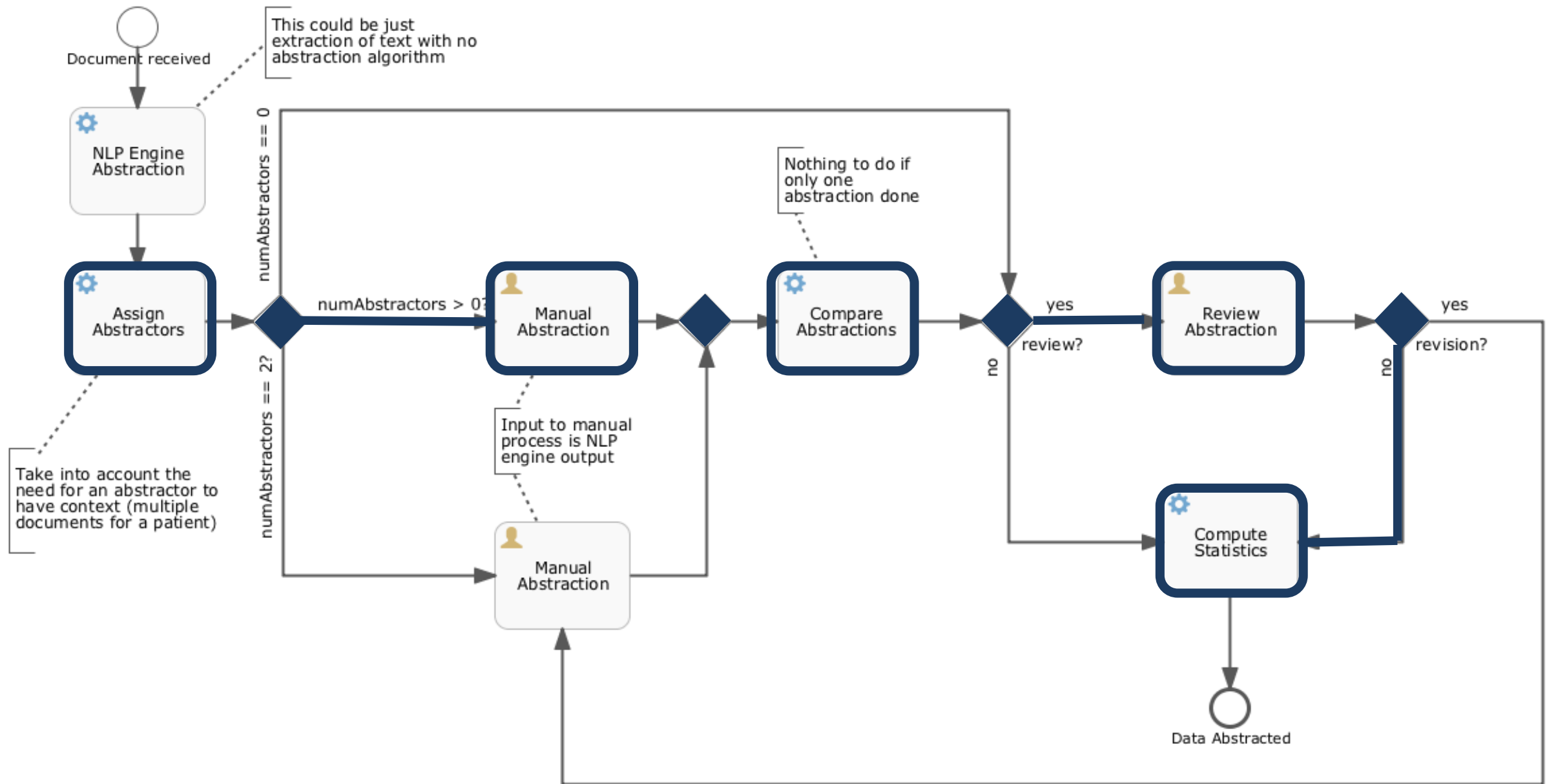
# The Strategy – Join Automated and Manual Processes

- We're designing a clinical data pipeline that will serve as a platform, not only for automated information extraction using natural language processing algorithms, but also manual data abstraction and the verification of extracted elements.
- By using the existing manual abstraction workflow we can
  - iteratively create a larger training corpus for NLP algorithms
  - decrease the time and effort of manual processes
  - increase the volume and variety of clinical data reaching researchers, administrators, and providers

# The Solution – Clinical Data Pipeline Design

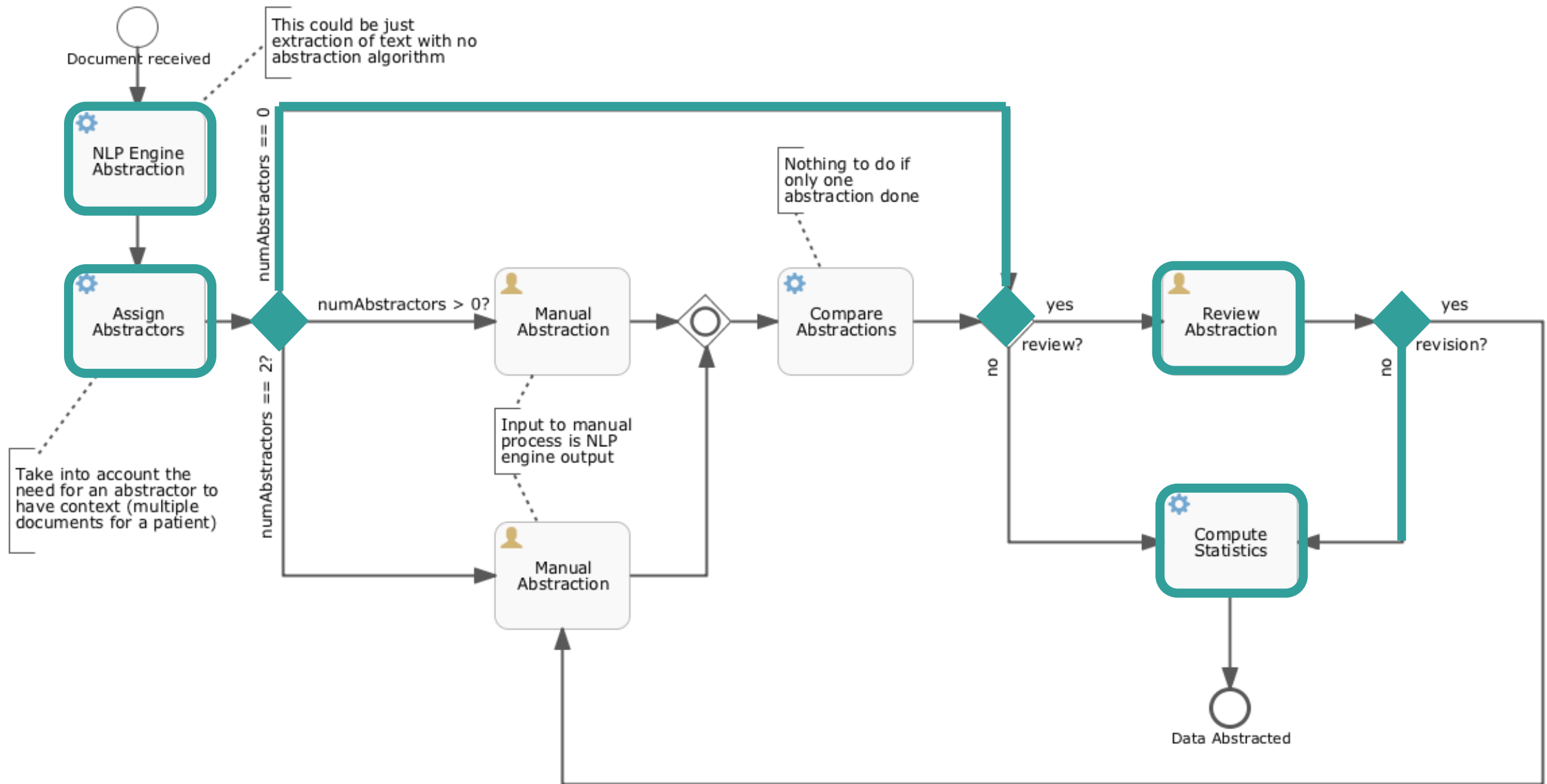


# The Solution – A Single Platform for All Workflows





# The Solution – A Single Platform for All Workflows



# The Solution – NLP Pipeline Design

- Hierarchical system design allows us to customize parsing for different clinical document types
- Modular algorithm design should better account for extensibility and growth over time
  - New parsers can be created when formatting or source systems change
  - New algorithms can be created when training data is available and/or new research questions arise

# The Solution - NLP Pipeline Design

Input/Arguments

NLP  
Engine

Output/Results

**Pathology**

Pathology  
Report Parser

General Pathology  
Modules &  
Resources

Clinic Notes

Cytogenetics

Radiology

Surgery

**Lung**

Lung Specific  
Pathology Modules &  
Resources

Breast

Colorectal

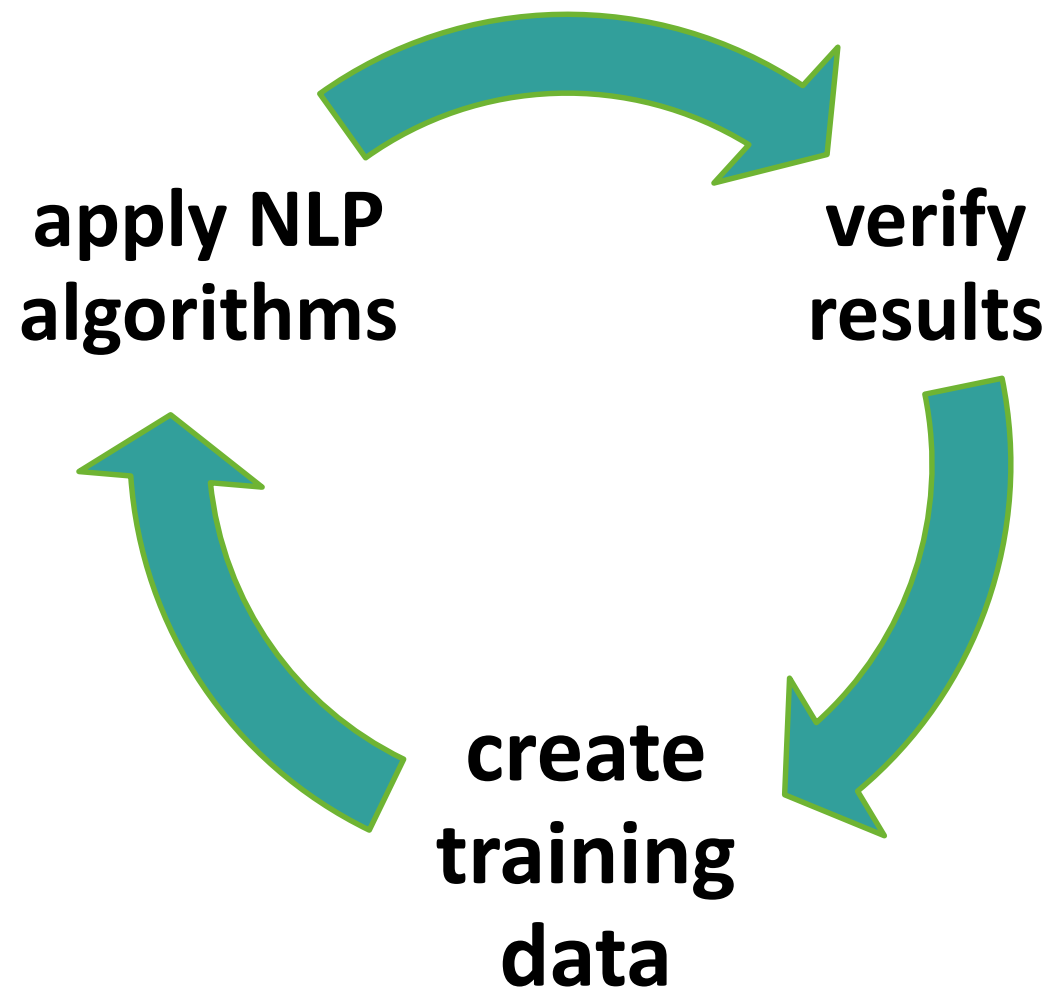
Brain

Prostate

Sarcoma

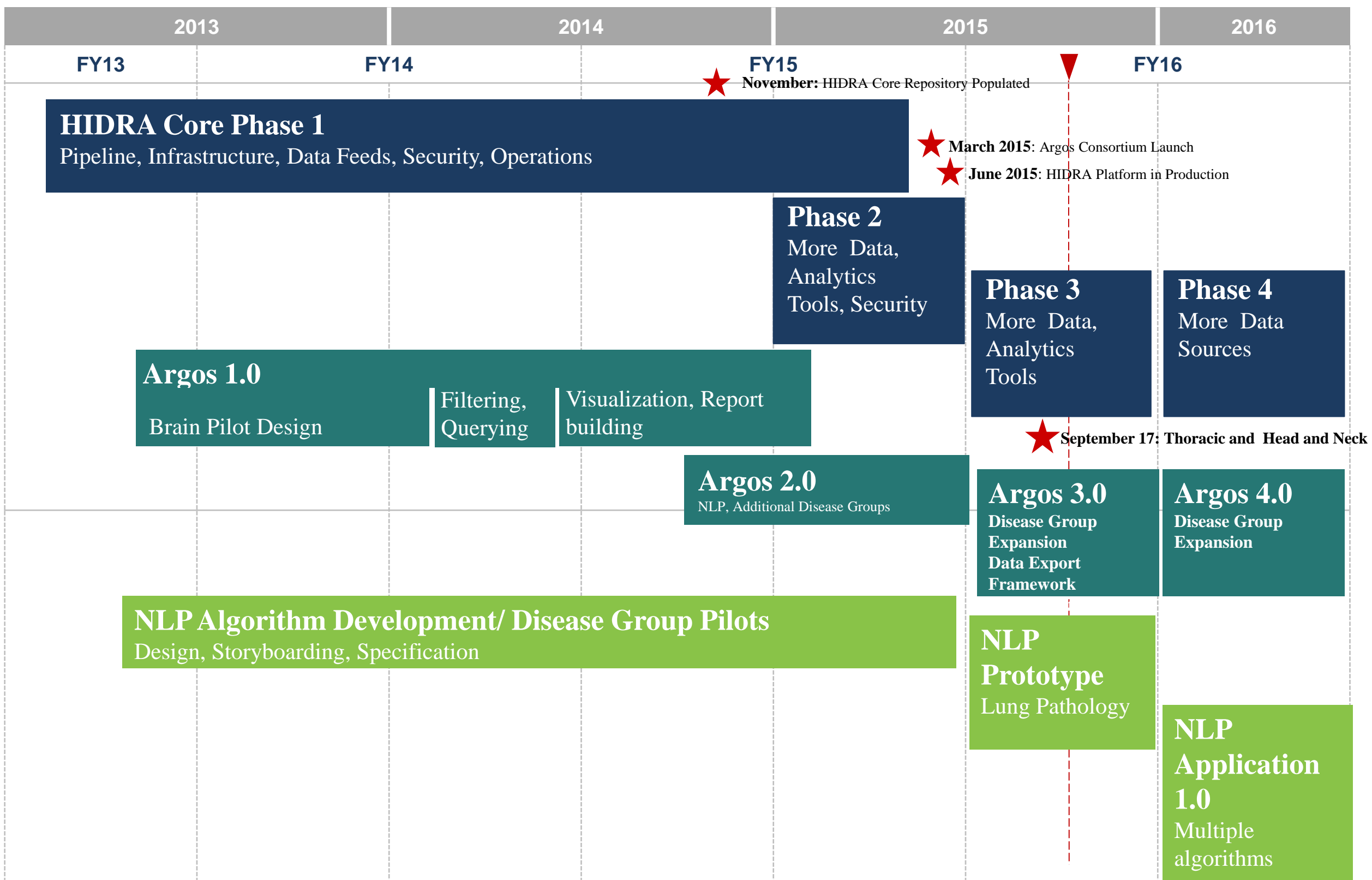
# The Big Picture

**use automation to speed up manual work**



**use manual workflow to improve automation**

# HIDRA Overall Program Schedule





# The Components - Staffing

## LabKey

- Developer(s)
- Test Dev
- Admin/Management

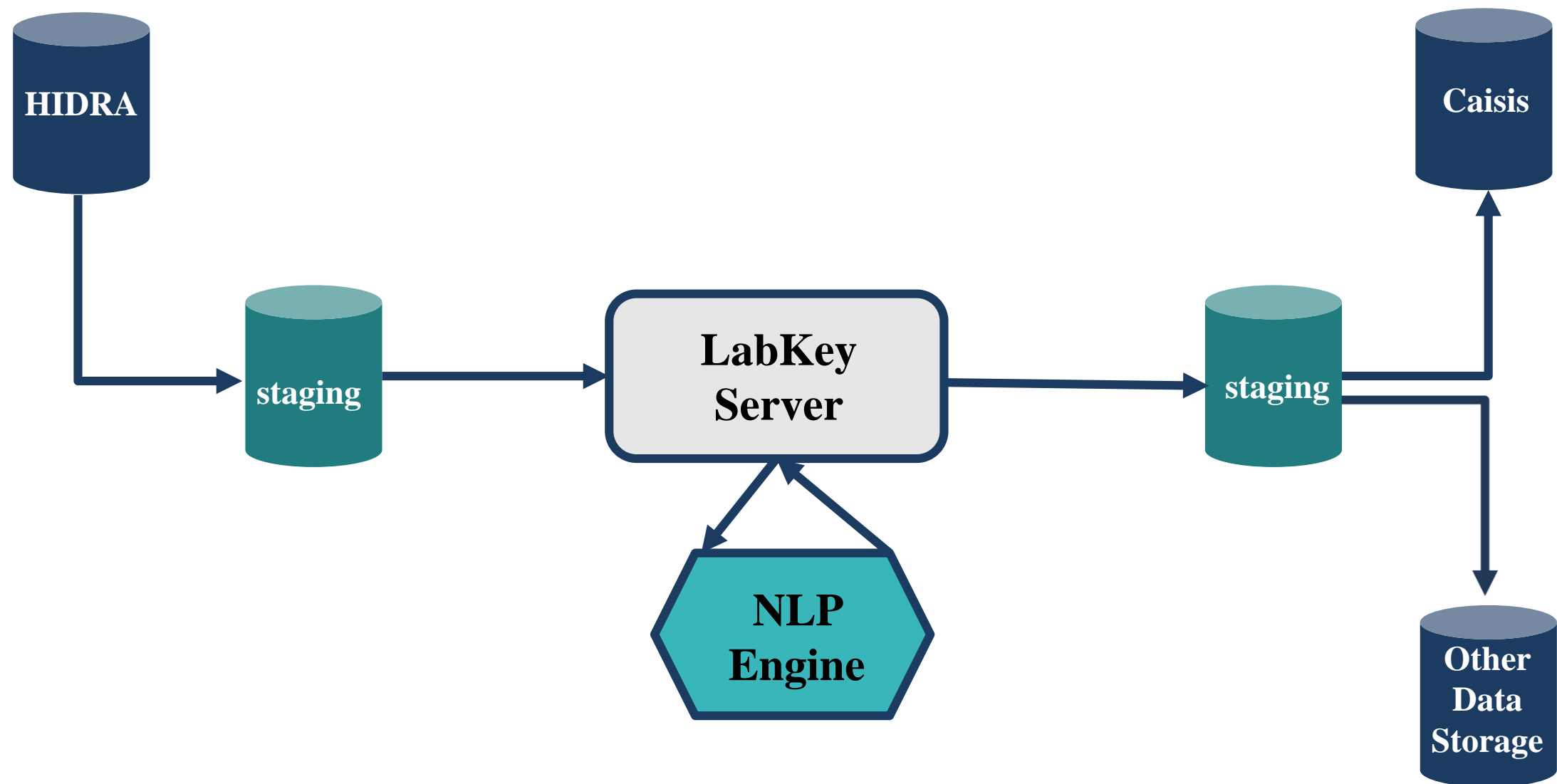
**Roughly 1.25 FTE annually**

## Fred Hutch

- NLP Engineer
- Abstractors
- Project Sponsors
- Project Manager
- Interns

**Roughly 2.0 FTE annually**

# The Solution – A Demonstration in two parts



# The Raw Text Pathology Reports

ObservationValue
NULL
NULL
FINAL DIAGNOSIS:
A) Gallbladder, cholecystectomy: Gallbladder and one lymph node negative for carcinoma.
NULL
B) Right liver, hemihepatectomy:
1. Metastatic adenocarcinoma, 7.5 cm and 1.8 cm, 99% necrotic. Necrotic material is present at the liver resection margin (slide B5). The inked liver resection margin appears negative for viable carcinoma.
2. The background liver has mild macrosteatosis (15%), minimal non-specific portal based inflammation, likely secondary to mass effect, with no fibrosis.
NULL
C) Liver, new posterior margin, excision: Liver parenchyma is negative for carcinoma.
NULL
D) Right colon and terminal ileum, hemicolectomy: Colonic adenocarcinoma, moderately-differentiated, see Summary Cancer Data.
NULL
NULL
SUMMARY CANCER DATA:
Specimen and Tumor Location
Specimen type: Right hemicolectomy
Specimen length: 36cm
Tumor site: Right (ascending) colon (C18.2)
Characteristics and Extent of Neoplasm
Histologic type: Adenocarcinoma (81403)
Histologic grade: Low-grade (well to moderately differentiated; >50% gland formation)
Tumor size: Greatest diameter: 5.3cm
Tumor perforation (macroscopic): Not identified
Microscopic tumor extent:
Tumor invades through muscularis propria but does not penetrate any peritoneal surface
Tumor deposits: Not identified
Lymphatic [small vessel] Invasion (L): Not identified
Venous [large vessel] Invasion (V): Not identified
Perineural invasion: Absent
Treatment effect: Extensive residual cancer

# NLP Pipeline Demo





Files

fileset

- argos\_nlp\_104
- configs
- nlpEngineInvocation
- single\_simpson

UPLOAD FILES

IMPORT DATA

AUDIT HISTORY

ADMIN

Import data from files into the database, or analyze data files

ified

Size

Created By

Description

Usages

argos_nlp_104					
configs					
nlpEngineInvocation					
single_simpson					
single_simpson.nlp.tsv	<input checked="" type="checkbox"/>	2015-09-25 05:51	6.3 KB	adam	nlp/single_simpson (ne...

Name: single\_simpson.nlp.tsv

Modified: 2015-09-25 05:51

Created By: adam

Size: 6.3 KB (6,442 bytes)

WebDav URL: /labkey/\_webdav/NLP/%40pipeline/single\_simpson.nlp.tsv

## Files

UPLOAD FILES IMPORT DATA AUDIT HISTORY ADMIN

	Name	Last Modified	Size	Created By	Description	Usages
fileset	argos_nlp_104					
	configs					
	nlpEngineInvocation					
	single_simpson					
	single_simpson.nlp.tsv					nlp/single_simpson (ne...

## Import Data

using 1 out of 1 file(s)

Import ICEMR Species-specific PCR  
using 1 out of 1 file(s)

Create New ICEMR Species-specific PCR Assay Design

Import IR Spectra  
using 1 out of 1 file(s)

Create New IR Spectra Assay Design

Import Noblis Simple  
using 1 out of 1 file(s)

Create New Noblis Simple Assay Design

Import Particle Size  
using 1 out of 1 file(s)

Create New Particle Size Assay Design

Import Protein Expression Matrix  
using 1 out of 1 file(s)

Create New Protein Expression Matrix Assay Design

Import Provisional HPLC  
using 1 out of 1 file(s)

Create New Provisional HPLC Assay Design

Import Text or Excel Assay  
using 1 out of 1 file(s)

Create New General Assay Design

Import Visual  
using 1 out of 1 file(s)

Create New Visual Assay Design

NLP engine invocation and results  
using 1 out of 1 file(s)

NLP engine invocation and results

IMPORT

CANCEL

Name: single\_simpson.nlp.tsv

Modified: 2015-09-25 05:51

Created By: adam

Size: 6.3 KB (6,442 bytes)

WebDav URL: /labkey/\_webdav/NLP/%40pipeline/single\_simpson.nlp.tsv

# NLP engine invocation and results

Choose an existing protocol or define a new one.

Analysis Protocol: <New Protocol>

Protocol Name:

Protocol Description:

File(s): single\_simpson.nlp.tsv

Parameters:

```
<?xml version="1.0"?>
<bioml>
<!-- Override default parameters here. -->
</bioml>
```

☒ Save protocol for future use

ANALYZE

CANCEL

## NLP engine invocation and results

Choose an existing protocol or define a new one.

Analysis Protocol:	<New Protocol>
Protocol Name:	<New Protocol>
Protocol Description:	<div> <div>argos_nlp_092</div> <div>argos_nlp_104</div> <div>Linguamatics</div> <div>OpenNLP</div> </div>
File(s):	single_simpson.nlp.tsv
Parameters:	<pre>&lt;?xml version="1.0"?&gt; &lt;bioml&gt; &lt;!-- Override default parameters here. --&gt; &lt;/bioml&gt;</pre>

☒ Save protocol for future use

[ANALYZE](#) [CANCEL](#)

# NLP engine invocation and results

Choose an existing protocol or define a new one.

Analysis Protocol: <New Protocol>

Protocol Name: LKUC\_Argos\_NLP

Protocol Description: Run pathology NLP pipeline

File(s): single\_simpson.nlp.tsv

Parameters: <?xml version="1.0"?>  
<bioml>  
<note label="version" type="input">argos\_nlp\_104</note>  
<note label="diseaseGroup" type="input">lung</note>  
<note label="docType" type="input">pathology</note>  
<note label="allowUnknownOutputProperties" type="input">>true</note>  
<note label="applyAlgorithms" type="input">>true</note>  
</bioml>

☒ Save protocol for future use

ANALYZE CANCEL

## NLP

Pipeline Jobs >

### nlp/single\_simpson (LKUC\_Argos\_NLP)

#### Job Status

Created	2015-10-01 16:08
Modified	2015-10-01 16:08
Email	adam@rauch.com
Status	COMPLETE
Info	
File Path	C:/nlp/nlpEngineInvocation/LKUC_Argos_NLP/single_simpson.log
Files	<a href="#">single_simpson.log</a>

SHOW GRID DATA RUN BROWSE FILES

#### single\_simpson.log

SHOW FULL LOG FILE

```
01 Oct 2015 16:08:54,703 INFO : Starting to run task 'org.labkey.api.pipeline.cmd.CommandTask:nlpEngineCommand' at location 'webserver'
01 Oct 2015 16:08:54,740 INFO : Copying C:\nlp\nlpEngineInvocation\LKUC_Argos_NLP\nlpEngineInvocation.xml to C:\nlp\nlpEngineInvocation\LKUC_Argos_NLP\single_simpson.work\nlpEngineInvocation.xml
01 Oct 2015 16:08:54,768 INFO : python output
01 Oct 2015 16:08:54,781 INFO : =====
01 Oct 2015 16:08:54,786 INFO : Working directory is C:\nlp\nlpEngineInvocation\LKUC_Argos_NLP\single_simpson.work
01 Oct 2015 16:08:54,797 INFO : running: C:\Program Files (x86)\Python27\python c:\nlp\argos_nlp_104\nlp_engine.py -f ..\..\..\single_simpson.nlp.tsv -g lung -t pathology -o single_simpson.nlp.json
01 Oct 2015 16:08:56,191 INFO : Moving C:\nlp\nlpEngineInvocation\LKUC_Argos_NLP\single_simpson.work\single_simpson.nlp.json to C:\nlp\nlpEngineInvocation\LKUC_Argos_NLP\single_simpson.nlp.json
01 Oct 2015 16:08:56,195 INFO : Successfully completed task 'org.labkey.api.pipeline.cmd.CommandTask:nlpEngineCommand'
01 Oct 2015 16:08:56,247 INFO : Starting to run task 'org.labkey.api.exp.pipeline.XarGeneratorId' at location 'webserver'
01 Oct 2015 16:08:56,254 INFO : Checking files referenced by experiment run
01 Oct 2015 16:08:57,089 INFO : Experiment run 'nlp/single_simpson (LKUC_Argos_NLP)' complete
01 Oct 2015 16:08:57,313 WARN : NLP Engine reported the following errors in this run.
01 Oct 2015 16:08:57,316 WARN : Error Type: Warning Message: Output directory already existed at program runtime. It was not empty and was deleted
01 Oct 2015 16:08:57,360 INFO : Importing C:\nlp\single_simpson\SU-16-01011.txt for jobId 7
01 Oct 2015 16:08:57,438 INFO : Successfully completed task 'org.labkey.api.exp.pipeline.XarGeneratorId'
```

## NLP Report View

\*\* DEMOGRAPHICS DRAWN FROM PATHOLOGY REPORT \*\*

PATIENT: VAN HOUTEN, MILHOUSE  
MRN: U01F0121 (Springfield Medical Center)  
DOB: Feb 30 1945  
SEX: M

CASE: SU-16-01011 COLLECTED: Feb 27 2016 RECEIVED: Apr 22 2016  
MATERIALS RECEIVED:

Label	Consult	Accession No	Blocks/Slides	Description
A	OS14-1059	0B,9S		Colon, right hemicolectomy

### FINAL DIAGNOSIS:

A) Marvin Monroe Memorial Hospital, Springfield, ST; OS14-01059 (02/27/2016)  
Portion of terminal ileum, cecum, and ascending colon, resection: Invasive, moderately differentiated colonic adenocarcinoma. Please see the Cancer Summary below.

### SUMMARY CANCER DATA:

Specimen and Tumor Location  
Specimen type: Right hemicolectomy  
Tumor site: Cecum (C18.0)  
Characteristics and Extent of Neoplasm  
Histologic type: Adenocarcinoma (81403)  
Histologic grade: Low-grade (well to moderately differentiated; >50% gland formation)  
Tumor size: Greatest diameter: 5cm  
Tumor perforation (macroscopic): Not identified  
Microscopic tumor extent:  
Tumor invades through muscularis propria but does not penetrate any peritoneal surface  
Tumor deposits: Not identified  
Lymphatic [small vessel] Invasion (L): Not identified  
Venous [large vessel] Invasion (V): Not identified  
Perineural invasion: Absent  
Final Surgical Resection Margins  
Grossly positive margin(s): None  
Microscopically positive margin(s): None  
Lymph Node Status  
Node summary: Nodes with carcinoma: 0 / Total nodes examined: 15  
Minimum Pathologic Stage (AJCC, 7th ed., 2010)  
Primary tumor (pT): pT3: Tumor invades through the muscularis propria into pericolorectal tissues  
Regional lymph nodes (pN): pN0: No regional lymph node metastasis  
Other Findings  
Tumor-Infiltrating Lymphocytes per high-power field: 1  
Peri-tumoral lymphocytic response: Mild/Moderate (up to 2 aggregates/section)  
Additional pathological findings: Adenoma(s)

SY/pwt

### Field Results

Field	Value	Confidence
<b>TargetTable: Pathology</b>		
PathDate	2016-04-22T00:00:00	1
PathHistology	Adenocarcinoma	0.9
PathHistologyGrade	moderately differentiated	0.9
PathQuality	REV	0.98
PathSite	Colorectal	0.7
PathSpecimenType	Resection	0.7
Pathologist	Ned M Fland	1
<b>TargetTable: PathologyFinding</b>		
PathFindGrade	moderately differentiated	0.9
PathFindHistology	Adenocarcinoma	0.9
PathFindSite	Colorectal	0.85
PathSpecimenType	Resection	0.7
<b>TargetTable: PathologyStageGrade</b>		
PathGrade	moderately differentiated	0.9
PathStageN	pN0	0.92
PathStageSystem	AJCC 7	0.95
PathStageT	pT3	0.98

Start: 639, Stop: 644  
Start: 694, Stop: 701

NLP Report View

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Peri-tumoral lymphocytic response: Mild/Moderate (up to 2 aggregates/section)  
Additional pathological findings: Adenoma(s)

SY/pwt

CLINICAL DATA:  
Malignant neoplasm of rectosigmoid junction. The case is for review. The patient will be seen by Dr. Nick Riviera at Springfield GI Clinic.

Selma Bouvier MBBS  
GI Path Fellow  
04/21/2016  
Ned M Flanders MD, PhD

Field Results		
Field	Value	Confidence
TargetTable: Pathology		
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PathHistology	Adenocarcinoma	0.9
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PathFindGrade	moderately differentiated	0.9
PathFindHistology	Adenocarcinoma	0.9
PathFindSite	Colorectal	0.85
PathSpecimenType	Resection	0.7
TargetTable: PathologyStageGrade		
PathGrade	moderately differentiated	0.9
PathStageN	pN0	0.92
PathStageSystem	AJCC 7	0.95
PathStageT	pT3	0.98



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Primary tumor (pT): pT3: Tumor invades through the muscularis propria into pericolorectal tissues  
Regional lymph nodes (pN): pN0: No regional lymph node metastasis  
Other Findings  
Tumor-Infiltrating Lymphocytes per high-power field: 1  
Peri-tumoral lymphocytic response: Mild/Moderate (up to 2 aggregates/section)  
Additional pathological findings: Adenoma(s)

## Field Results

Field	Value
<b>TargetTable: NodePathFinding</b>	
PathFindExtension	
PathFindHistology	
PathFindMaxDim	
PathFindNumNodes	15
PathFindPosNodes	0
PathFindSide	
PathFindSite	
<b>TargetTable: PathTest</b>	
PathDate	
PathNotes	
PathQuality	
PathResult	
PathTest	
<b>TargetTable: Pathology</b>	
PathDate	2016-04-22T00:00:00
PathHistology	Adenocarcinoma
PathHistology2	
PathHistologyGrade	moderately differentiated
PathQuality	REV
PathSide	
PathSite	Colorectal
PathSpecimenType	Resection
PathSubsite	
Pathologist	Ned M Flanders

**TargetTable: PathologyFinding**

CANCEL SAVE

# NLP Pipeline Demo

# The Pathology Results in Caisis

Find A Patient < by Last Name or MRN >

PATIENT LISTS

PATIENT DATA

FORMS

EFORMS

DATA ANALYSIS

MORE

Common Tasks 

Patients
Encounters
Procedures
Therapies
Diagnostics
Outcomes
Workflows

Pathology for

From Operation On 
Source Procedure 
Path Report Date 
Path # 
Site 
Side 
Result

Histology 
Secondary Histology 
Histology Grade 
Specimen Collection Type 
Notes 

MATERIALS RECEIVED: Label Consult

Data Source 
Data Quality 
Vascular Invasion?

Pathology Findings (1)
Pathology Stages (1)
More

Disease	Staging System	T	N	M	Grade
<input type="text"/>	AJCC 7 <input type="text"/>	pT3 <input type="text"/>	pN0 <input type="text"/>	<input type="text"/>	moderately differentiated <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Add New Row

# The Pathology Results in Argos



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THANK YOU



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